special report

Obama's 2011 budget proposes one more year of growth for science and technology

Swollen with stimulus monies, 2010 R&D totals are a tough act to follow. And the president's call for a spending freeze points to austere times ahead.

With the release of the fiscal year 2011 budget request to Congress on 1 February, President Obama completed his first full budget cycle and his first chance to fully flesh out his policy proposals for science and technology (S&T). At the time of his inauguration in January 2009, federal agencies had already submitted their budget requests to the White House, and opportunities for major course changes were limited. But the American Recovery and Reinvestment Act (ARRA) presented the new president with an unprecedented opportunity to channel billions of extra dollars into his R&D priorities. By far the biggest recipient of stimulus R&D funding—more than \$21 billion-were the Department of Energy's (DOE's) clean energy development programs (see the ARRA table on page 31).

The ARRA windfall makes year-toyear comparisons problematic at best in the budgets of key S&T funding agencies. While the ARRA surge will continue to be felt for months and in some cases years to come, the act's idiosyncrasies require that all stimulus money be obligated before 1 October 2010 (see PHYSICS TODAY, January 2010, page 18). When the flood of ARRA money begins to ebb, S&T can be expected to enter an austere environment, as Obama and Congress turn their attention to deficit reduction. Obama has proposed freezing nondefense discretionary spending, a category that includes nearly all nonmilitary R&D, at FY 2011 levels. John Holdren, Obama's science adviser and director of the White House Office of Science and Technology Policy, admitted that some agencies will confront a "falling off the cliff" phenomenon as ARRA funds start to dry up and budget belt-tightening begins. At a briefing on the budget's release date, he said that agencies are staggering the timing of grants and have used some ARRA monies to make enduring investments in upgrading laboratory facilities and equipment.

But at least for next year, the White House has decided that increased support for S&T is warranted and has requested \$66 billion for civilian research—topping this year's mark by nearly 6%. The basic and applied research programs—defense and nondefense—would receive \$61.6 billion, a \$3.3 billion increase, or 5.6% above current levels. "The president understands that more than ever before, science holds the key to the prosperity of our nation, the security of our people, and the richness of our lives," said Holdren.

Offsetting those increases is \$3.5 billion in proposed cuts to Department of Defense R&D programs, a reduction of 4.4% from FY 2010. The \$77.5 billion Obama has requested for Pentagon R&D (a figure that includes medical research not reported in the table) is a reduction of \$3.3 billion, or 3.9%, from the current-year spending. All the cuts would be taken from weapons systems development programs that are moving toward procurement; DOD's basic and applied research programs would grow by 2.3% next year, to \$6.5 billion, after excluding the congressional earmarks that were added to the current year's appropriation. And the nuclear weapons and nonproliferation R&D programs at DOE's National Nuclear Security Administration (NNSA) would swell 12%, reversing years of steady declines.

Borrowing from Bush

With his request, Obama has embraced an effort initiated by his predecessor to double the budgets of three agencies that supply most of the federal support for basic research in the physical sciences—namely, NSF, DOE's Office of Science, and NIST's core research program. The \$13.3 billion sought for those three agencies in FY 2011 represents growth of \$824 million, or 6.6%, from





Federal funding for basic and applied research will

climb 5.6%, to a record high of \$61.6 billion, under President Obama's budget request for fiscal year 2011. Overall, the budget proposes \$147.7 billion for federal R&D, an increase of just 0.2% over 2010, with a 3.9% cut in defense weapons systems development programs to be offset by a 5.9% rise in civilian R&D. The FY 2009 funding total was swollen by the addition of \$13.2 billion for basic and applied R&D programs from the American Recovery and Reinvestment Act (see chart at left), but ARRA monies won't be fully obligated until the end of the current fiscal year. The FY 2011 budget includes a \$1.7 billion, 18.3% boost to NASA's R&D programs and proposes a major restructuring of that agency's human space exploration program. President George W. Bush's 10-year doubling of the budgets of NSF, the Department of Energy's Office of Science, and NIST's basic research programs would stay on track for completion in 2017. Substantial increases are

requested for clean energy, climate change, and science and mathematics education. But bipartisan concerns with soaring federal debt and deficits could choke further growth in R&D budgets in future years.

the current-year level, and White House projections show the agencies reaching \$19.5 billion in 2017-double their 2006 levels.

Obama's budget, however, also proposes to terminate a signature R&D program of George W. Bush's, NASA's Constellation program, which has been working to develop the rockets and the vehicle required to send US astronauts beyond low-Earth orbit and ferry them back and forth to the International Space Station (ISS). The budget proposal also seals the fate of the proposed nuclear waste repository at Yucca Mountain in Nevada. Both of those programs have consumed billions of taxpayer dollars and were unlikely to succeed without billions more invested.

Obama also has parted ways with Bush on budget treatment for the National Institutes of Health. Upon the 2003 completion of a five-year budgetdoubling campaign started by his predecessor, no further increases for NIH were provided through the remainder of Bush's presidency. Obama has proposed an increase of \$1 billion, or 3.2%, which would take the biomedical research behemoth's FY 2011 budget to \$32.2 billion, just under half of the total federal funding for nondefense R&D.

Not surprisingly, given Obama's pledges to take on the threat posed by global warming, FY 2011 spending for the interagency US Global Change Research Program would leap next year by 21%, or \$439 million, to \$2.6 billion. The Department of Commerce's climate research programs, primarily at the National Oceanic and Atmospheric Administration (NOAA), would rise \$77 million, or 21%, to \$437 million. NASA's component of the climate program would jump 20%, to \$1.3 billion, as the result of a directive from Obama for that agency to accelerate its development of new satellites that were named as Earth science priorities by the National Research Council. Governmentwide funding for science, technology, engineering, and mathematics education at K-12 levels is proposed to surge 40%, or \$300 million, reaching the \$1 billion mark. Counting college-level programs, the total STEM education spending would increase by less than 1%, to \$3.7 billion. NSF, with the largest STEM education portfolio, would receive \$1.2 billion for those programs next year, an increase of 2.3%.

Following are some highlights for the agencies that supply the bulk of federal funding for physical sciences research.

Linac for LCLS

| Department of Energy K&D programs | | | | | |
|--|-------------------|---------------------|--------------------|---------------------------------|--|
| | FY 2009 actual | FY 2010 estimate | FY 2011 request | FY 2010-11 percent change | |
| | (m | illions of dollar | s)* | | |
| Fotal DOE | 33 856 | 26 597 | 28 404 | 6.8 | |
| DOE R&D | 11 549 | 11 562 | 12 513 | 8.2 | |
| Office of Science R&D programs | 4 813 | 4 904 | 5 121 | 4.4 | |
| lotal high-energy physics | //6 | 810 | 829 | 2.3 | |
| Proton accelerator-based physics | 401 | 434 | 439 | 1.2 | |
| Grants research | 60 | 60 | 61 | 17 | |
| National laboratory research | 66 | 65 | 68 | 4.8 | |
| University service accounts | 1 | 1 | 1 | 0.4 | |
| Facilities | 275 | 309 | 309 | 0.0 | |
| Tevatron operations and improvements | 195 | 218 | 214 | -1.8 | |
| Large Hadron Collider project and support | 72 | 80 | 84 | 4.8 | |
| Other facilities† | 8 | 10 | 11 | 3.7 | |
| Electron accelerator-based physics | 32 | 27 | 25 | -9.9 | |
| Research | 17 | 15 | 15 | -2.8 | |
| University research | 7 | 7 | 6 | -2.7 | |
| National laboratory research | 10 | 9 | 9 | -2.8 | |
| Facilities | 15 | 12 | 10 | -19.0 | |
| Nonaccelerator physics | 101 | 100 | 89 | -11.1 | |
| Theoretical physics | 66 | 67 | 70 | 3.8 | |
| Advanced tech R&D (accelerators and detectors) | 175 | 182 | 190 | 4.2 | |
| l otal nuclear physics | 500 | 535 | 562 | 5.0 | |
| Medium-energy nuclear physics | 117 | 128 | 130 | 1.0 | |
| Research | 30 10 | 45 | 40 | 2.9 | |
| National Jaboratory research | 19 | 20 | 19 | 2.8 | |
| Other research | 17 | 7 | 7 | -4.5 | |
| Operations | 80 | 83 | 83 | 0.9 | |
| Heavy-ion nuclear physics | 195 | 212 | 218 | 3.0 | |
| Research | 41 | 49 | 49 | 0.6 | |
| University research | 14 | 14 | 16 | 7.2 | |
| National laboratory research | 27 | 27 | 27 | -2.8 | |
| Other research | 0 | 7 | 7 | 0.6 | |
| Operations (primarily RHIC) | 154 | 163 | 169 | 3.7 | |
| Low-energy nuclear physics | 95 | 115 | 113 | -1.0 | |
| Research | 53 | 66 | 66 | 0.0 | |
| University research | 21 | 22 | 23 | 1.8 | |
| National laboratory research | 31 | 41 | 41 | -0./ | |
| Other research | 1 | 12 | 10 | -2.9 | |
| Operations (primarily ATLAS and HPIRE) | 25 | 37 | 10 | -10.7 | |
| Nuclear theory | 38 | 42 | 45 | 7.0 | |
| Isotope development and production | 25 | 19 | 20 | 3.0 | |
| Construction | 31 | 20 | 36 | 80.0 | |
| Total fusion energy sciences | 395 | 426 | 380 | -10.8 | |
| Science | 163 | 182 | 186 | 2.1 | |
| Facility operations‡ | 208 | 221 | 170 | -23.0 | |
| Enabling R&D | 23 | 23 | 24 | 3.7 | |
| Total basic energy sciences | 1 536 | 1 636 | 1 835 | 12.1 | |
| Materials sciences | 1 108 | 364 | 433 | 19.0 | |
| Chemical sciences, geosciences, and energy | | | | | |
| biosciences | 282 | 297 | 404 | 35.9 | |
| Energy frontier research centers (EFRCs)§ | 42 | 42 | 62 | 47.6 | |
| Energy innovation nub | 0 | 0 | 34 | | |
| Advanced Light Source LBNI | 0 | 522 | 63 | 3.1 9.1 | |
| Advanced Photon Source, ANI | 0 | 129 | 140 | 7.8 | |
| National Synchrotron Light Source, BNL | 0 | 40 | 41 | 2.4 | |
| Center for Nanophase Materials Sciences, ORNL | 0 | 21 | 22 | 4.6 | |
| Center for Integrated Nanotechnologies, SNL/LANL | 0 | 21 | 22 | 4.6 | |
| Molecular Foundry, LBNL | 0 | 21 | 22 | 4.6 | |
| Center for Nanoscale Materials, ANL | 0 | 22 | 23 | 4.5 | |
| Center for Functional Nanomaterials, BNL | 0 | 21 | 22 | 4.6 | |
| Stanford Synchrotron Radiation Laboratory, SLAC | 0 | 35 | 37 | 6.7 | |
| High Flux Isotope Reactor, ORNL | 0 | 61 | 61 | 1.1 | |
| Intense Pulsed Neutron Source, ANL | 0 | 4 | 3 | -25.0 | |
| Manuel Lujan Jr Neutron Scattering Ctr, LANL | 0 | 11 | 12 | 4.1 | |
| Spallation Neutron Source, ORNL | 0 | 183 | 186 | 2.0 | |
| Linac Conerent Light Source, SLAC# | 0 | 10 | 123 | 10/1 | |

0 continued on next page

-100.0

0

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| Department of Energy R&D programs (continued) | | | | | |
|--|-------------------|------------------------|--------------------|---------------------------------|--|
| | FY 2009 actual | FY 2010 estimate | FY 2011 request | FY 2010-11 percent change | |
| | (m | (millions of dollars)* | | | |
| Construction | 145 | 154 | 152 | -1.7 | |
| National Synchrotron Light Source-II, BNL | 93 | 139 | 152 | 9.0 | |
| LCLS, SLAC# | 37 | 15 | 0 | -100.0 | |
| Advanced scientific computing research | 359 | 394 | 426 | 8.1 | |
| Biological and environmental research | 585 | 604 | 627 | 3.8 | |
| Advanced Research Projects Agency-Energy | 15 | 0 | 300 | — | |
| Fossil energy R&D | 863 | 672 | 587 | -12.8 | |
| Nuclear energy R&D | 791 | 787 | 824 | 4.7 | |
| Energy efficiency and renewable energy | 2 157 | 2 242 | 2 355 | 5.0 | |
| Total National Nuclear Security Administration R&D | 2 879 | 2 937 | 3 294 | 12.1 | |
| Total weapons science, technology, and engineering | 1 490 | 1 471 | 1 624 | 10.4 | |
| Science campaigns | 317 | 296 | 365 | 23.5 | |
| Engineering campaigns | 150 | 150 | 142 | -5.4 | |
| Advanced simulation and computing | 556 | 568 | 616 | 8.5 | |
| Inertial confinement fusion | 437 | 458 | 482 | 5.2 | |
| Science, technology, and engineering capability | 30 | 0 | 20 | — | |
| Directed stockpile work R&D** | 204 | 204 | 248 | 21.6 | |
| Nonproliferation and verification R&D | 356 | 317 | 352 | 10.8 | |
| Naval reactors | 828 | 945 | 1 070 | 13.3 | |
| Environmental management R&D | 31 | 20 | 32 | 61.6 | |

*Figures are rounded to the nearest million. Changes are calculated from unrounded figures. +Includes funds for decontamination and decommissioning of the BNL Alternating Gradient Synchrotron, which ceased opera-

tions as an experimental facility in FY 2002.

‡Includes \$80 million for the US contribution to ITER, a 41% reduction from the \$135 million appropriated for ITER in FY 2010. Sin FY 2011 approximately \$40 million will be available to fund additional EFRCs. The balance of the FY 2011 request will support ongoing operations at 30 EFRCs initiated in FY 2009. Sixteen other EFRCs were fully funded for five years with ARRA monies.

In FY 2009, funding of \$771 million for scientific user facilities was included in the materials sciences and engineering research account (\$82 million) and the facility operations activity (\$689 million). From FY 2010 and beyond, this funding is shown separately in a scientific user facilities subprogram.

#Reflects completion of contruction and first full year of operation at the LCLS.

**Includes R&D support and R&D certification and safety activities in directed stockpile work.

ANL, Argonne National Laboratory. ARRA, American Recovery and Reinvestment Act. ATLAS, a Torroidal LHC Apparatus. BNL, Brookhaven National Laboratory. HRIBF, Hollifield Radioactive Ion Beam Facility. LANL, Los Alamos National Laboratory. LBNL, Lawrence Berkeley National Laboratory. ORNL, Oak Ridge National Laboratory. RHIC, Relativistic Heavy Ion Collider. SNL, Sandia National Laboratories.

| Department of Homeland Security R&D programs | | | | | |
|--|-------------------|---------------------|--------------------|---------------------------------|--|
| | FY 2009 actual | FY 2010 estimate | FY 2011 request | FY 2010–11 percent change | |
| | (m | illions of dollar | s)* | | |
| Total DHS† | 52 709 | 55 348 | 56 336 | 1.8 | |
| Total DHS R&D | 1 466 | 1 408 | 1 344 | -4.5 | |
| Domestic Nuclear Detection Office (DNDO) | 514 | 383 | 306 | -20.2 | |
| Science and technology | | | | | |
| Border and maritime | 33 | 44 | 40 | -9.6 | |
| Chemical and biological countermeasures | 200 | 207 | 201 | -2.9 | |
| Command, control, and interoperability | 75 | 82 | 75 | -8.5 | |
| Explosives countermeasures | 96 | 121 | 121 | 0.0 | |
| Homeland Security Institute‡ | 5 | — | — | — | |
| Human factors | 12 | 16 | 13 | -16.5 | |
| Infrastructure and geophysical | 76 | 75 | 36 | -51.8 | |
| Innovation | 33 | 44 | 44 | 0.0 | |
| Laboratory facilities | 162 | 150 | 122 | -18.8 | |
| Radiological and nuclear§ | — | — | 109 | — | |
| Test and evaluation standards | 29 | 29 | 23 | -20.1 | |
| Transition | 29 | 46 | 42 | -8.7 | |
| University programs | 50 | 49 | 40 | -18.9 | |
| Management and administration | 132 | 143 | 152 | 6.1 | |
| Recisions | _ | -7 | — | — | |
| Total science and technology | 933 | 999 | 1018 | 1.2 | |
| Coast Guard | 20 | 25 | 20 | -19.0 | |

*Figures are rounded to the nearest million. Changes are calculated from unrounded figures.

+Excludes supplemental appropriations of \$3.4 billion in FY 2009 and \$296 million in FY 2010. Includes discretionary and mandatory federal funds, trust funds, and fee-funded activities.

‡Funding transferred to Transition category in FY 2010.

§Reflects transfer of DNDO transformational R&D program.

Recission of unexpended funds from prior-year appropriations.

Department of Energy. Funding for DOE's R&D programs would swell 8.2%, to \$12.5 billion. The Office of Science, which supports DOE's nondefense basic research programs, would increase by 4.4%, to \$5.1 billion. The 2011 budget proposes \$34 million to establish a fourth "energy innovation hub" that will focus on batteries and energy storage. Each of the existing hubs-in advanced reactors, production of fuels from sunlight, and energy-efficient building designswould get \$24.3 million next year. Between six and eight new energy frontier research centers would be added to the existing suite of 46 EFRCs housed at universities, national laboratories, and other institutions around the country. The applied research programs that work to accelerate the adoption of renewable and other carbon-free energy sources would be boosted by 5%, to \$2.4 billion. Still, funding for energy efficiency, renewable energy, fossil energy, and electricity delivery and reliability will plunge from the levels they attained with ARRA.

Nuclear energy R&D would grow 4.7%, to \$824 million, as DOE continues to explore how to handle nuclear waste. Energy Secretary Steven Chu has appointed a commission to propose solutions, but the administration has made clear that its decision to shut down the Yucca Mountain repository is final. Testifying before the House Science and Technology Committee in February, Holdren argued that the \$10 billion spent to study the site was not wasted, since much has been learned about the challenges of selecting a suitable repository location.

An attention-grabber in the request for DOE is the \$357 million, 12.1% rise slated for R&D at the NNSA, the semiautonomous agency that operates the nuclear weapons, nonproliferation, and naval reactors programs. Vice President Joe Biden, who announced the increase in a Wall Street Journal oped published in late January, said the addition is part of a five-year, \$5 billion investment in NNSA that is "long overdue." The FY 2011 request was released as the administration put the finishing touches on the first comprehensive review of US nuclear weapons policy since 2002.

The budget gives evidence that the NNSA made its case that ensuring the reliability and safety of the aging stockpile will require ongoing expenditures in the scientific programs of the nuclear weapons laboratories. Also receiving a substantial boost—10.8%, to

\$352 million—is R&D to develop new methods to limit the proliferation of nuclear weapons and fissile materials abroad and for the verification of international arms control agreements.

The DOE request proposes \$300 million for the Advanced Research Projects Agency–Energy, a new office that awards competitive grants to support high-risk R&D projects that could result in breakthrough energy technologies. Although less than the \$389 million ARPA–E received in ARRA funds, the 2011 request would be the first significant appropriation for the entity since its establishment by law in 2007.

Department of Defense. Obama's budget includes an increase of 6.7%, to \$2 billion, for basic research. That small slice of DOD's mammoth \$76.7 billion R&D program provides 85% of all federal support to universities for mechanical engineering, 65% of the total for electrical engineering, 33% of ocean sciences, and 27% of computer sciences support, according to the Task Force on American Innovation, a coalition of businesses, trade associations, scientific societies, and universities.

The Defense Advanced Research Projects Agency would receive a 3.7% increase, to \$3.1 billion. The budget request for DARPA contains no mention of a five-year, \$1 billion plan to revitalize US manufacturing, a plan that DARPA director Regina Dugan described in January to a meeting of the President's Council of Advisors on Science and Technology. Dugan told PCAST her idea is to replicate in other US manufacturing sectors a model that had helped the US semiconductor industry to recover from near extinction by foreign competition. That model involved separating semiconductor design companies from manufacturing companies.

NASA. In a major overhaul of NASA's human space exploration program, the administration proposes to scrap the \$3.8 billion project known as Constellation, which has been developing spacecraft to replace the space shuttles and provide transport to the Moon or other destinations. Constellation funding would be redirected to a new design, development, and production initiative to be carried out in the private sector under NASA supervision. The Bush administration had said that new launch capabilities could be readied for human flight by 2015, but a blue-ribbon review committee last fall said both that timetable and Bush's goal of sending astronauts back to the Moon by

| NASA R&D programs | | | | |
|---------------------------------------|---|---------------------|--------------------|---------------------------------|
| | FY 2009 actual | FY 2010 estimate | FY 2011 request | FY 2010–11 percent change |
| | (m | illions of dollar | s)* | |
| Total NASA | 17 782 | 18 724 | 19 000 | 1.5 |
| NASA R&D | | | | |
| R&D programs | | | | |
| Science, aeronautics, and exploration | 9 308 | 8 781 | 9 848 | 12.1 |
| Science | 4 903 | 4 493 | 5 006 | 11.4 |
| Planetary science | | | | |
| Discovery | 235 | 209 | 202 | -3.4 |
| New Frontiers | 279 | 264 | 224 | -15.2 |
| lechnology | /2 | 89 | 106 | 19.7 |
| Planetary science research | 166 | 161 | 180 | 12.2 |
| Mars exploration | 362 | 416 | 533 | 28.0 |
| Outer planets | 105 | 99 | 103 | 5.0 |
| Lunar quest | <u> </u> | 1.241 | 1.400 | 31.8 |
| l otal planetary science | 1 288 | 1 341 | 1 486 | 10.8 |
| Astrophysics | 126 | 140 | 156 | 1 9 |
| Astrophysics research | 150 | 694 | 130 | 4.0 |
| Physics of the Cosmos | 111 | 117 | 103 | -11.5 |
| Evolution Evolution | 72 | 46 | 42 | -8.0 |
| Astrophysics Explorer | 136 | 108 | 87 | -19.6 |
| Total astronhysics | 1 305 | 1 104 | 1.076 | -2.5 |
| Earth science | 1 505 | 1 101 | 10/0 | 2.5 |
| Earth systematic missions | 894 | 723 | 809 | 11.9 |
| Earth system science pathfinder | 122 | 86 | 304 | 253.0 |
| Multimission operations | 146 | 150 | 161 | 7.5 |
| Earth science research | 437 | 383 | 438 | 14.3 |
| Applied sciences | 48 | 32 | 37 | 13.7 |
| Earth science technology | 55 | 46 | 53_ | 15.0 |
| Total Earth science | 1 702 | 1 421 | 1 802 | 26.8 |
| Heliophysics | | | | |
| Heliophysics research | 205 | 173 | 167 | -3.5 |
| Living with a star | 223 | 240 | 214 | -10.8 |
| Solar terrestrial probes | 143 | 143 | 163 | 13.9 |
| Heliophysics explorer program | 35 | 69 | 98 | 40.7 |
| New Millennium | 3 | 2 | 0† | -94.0 |
| Total heliophysics | 608 | 627 | 642 | 2.3 |
| Exploration systems‡ | | | | |
| Constellation systems | 3 433 | 3 326 | — | n/a |
| Constellation transition | — | — | 1900 | n/a |
| Advanced capabilities | 472 | 454 | — | n/a |
| Exploration R&D | — | — | 1551 | n/a |
| Commercial spaceflight | | | 812 | n/a |
| Total exploration systems | 3 905 | 3 /80 | 4 263 | 12.8 |
| Aeronautics research | 5009 | 507 | 580 | 14.3 |
| Space recrinology | _ | — | 572 | n/a |
| Space Operations | 2.060 | 2 217 | 2 700 | 20.0 |
| Space shuttle | 2 000 | 2 31/ | 2 / 80 | 20.0 |
| Space and flight support | 2 9/9 | 5 159 | 1 1 1 0 | -08.5 |
| Total space operations | 5 765 | 6 181 | 4 888 | _20.0 |
| Cross-agency support | 3 356 | 3 095 | 3 111 | -20.9 |
| | 5 | 5000 | | 0.5 |

*Figures are rounded to the nearest million. Changes are calculated from unrounded figures.

+FY 2011 request for New Millennium is \$100 000.

‡FY 2011 request terminates Constellation systems, which consisted of the Ares I and V rockets and Orion crew exploration vehicle, redirects resources to development of technologies required for extended human spaceflight, and encourages development of commercial crew and cargo transport capabilities.

\$Does not include \$150 million provided from the American Recovery and Reinvestment Act.

New line item for FY 2011.

2020 were infeasible. Obama's revamp would provide \$500 million next year and a total of \$6 billion over five years to spur the commercial development of manned spaceflight vehicles. The budget would also provide \$312 million next year as incentives for NASA's existing commercial cargo providers.

Shuttering Constellation will be a tough sell on Capitol Hill, as Holdren

confirmed when he defended the scheme before lawmakers. Holdren told the House Science and Technology Committee in late February that the plan equates to "changing the acquisition model" to one in which NASA pays commercial contractors to provide transportation services. He reminded lawmakers that the agency had, since its inception, relied on con-

| Department of Defense R&D programs | | | | | |
|---|-------------------|---------------------|--------------------|---------------------------------|--|
| | FY 2009 actual | FY 2010 estimate | FY 2011 request | FY 2010–11 percent change | |
| | (mi | | | | |
| Research, development, test, and evaluation (RDT&E) | | | | | |
| Total basic research (6.1) | 1 758 | 1 874 | 1 999 | 6.7 | |
| US Army | | | | | |
| In-house independent research | 19 | 20 | 22 | 11.3 | |
| Defense research sciences | 194 | 197 | 196 | -0.1 | |
| University research initiatives | 87 | 99 | 91 | -8.3 | |
| University and industry research centers | 121 | 115 | 98 | -15.0 | |
| Total US Army | 422 | 432 | 407 | -5.8 | |
| US Navy | | | | | |
| University research initiatives | 102 | 102 | 109 | 6.3 | |
| In-house independent research | 17 | 18 | 18 | 0.1 | |
| Defense research sciences | 406 | 429 | 430 | 0.1 | |
| Total US Navy | 525 | 549 | 556 | 1.3 | |
| US Air Force | | | | | |
| Defense research sciences | 300 | 328 | 351 | 6.9 | |
| University research initiatives | 134 | 142 | 136 | -3.7 | |
| High-energy laser research | 13 | 13 | 13 | -3.3 | |
| Total US Air Force | 446 | 483 | 500 | 3.7 | |
| Defensewide basic research programs‡ | | | | | |
| DTRA basic research initiative | 29 | 41 | 47 | 16.1 | |
| Defense research sciences§ | 187 | 206 | 328 | 59.4 | |
| National defense education program | 67 | 79 | 110 | 38.5 | |
| Government-industry cosponsorship of | | | | | |
| university research | 4 | 5 | 0 | -100.0 | |
| DEPSCoR | 14 | 0 | 0 | — | |
| Chemical and biological defense research | 60 | 79 | 50 | -37.1 | |
| Total defensewide basic research programs | 362 | 410 | 535 | 30.6 | |
| Applied research (6.2) | 5 072 | 5 038 | 4 476 | -11.2 | |
| Advanced technology development (6.3) | 6 425 | 6 544 | 5 359 | -18.1 | |
| Total science and technology (6.1–6.3) | 13 255 | 13 456 | 11 833 | -13.9 | |
| Other RDT&E | 67 395 | 67 168 | 64 932 | -3.3 | |
| Total RDT&E | 80 651 | 80 916 | 76 765 | -5.1 | |

*Excludes congressional add-ons and earmarks that are included in previous years.

+Figures are rounded to the nearest million. Changes are calculated from unrounded figures.

Includes the basic research budgets of DOD agencies such as DARPA, Defense Advanced Research Projects Agency; DTRA, Defense Threat Reduction Agency; Missile Defense Agency; and the Office of the Secretary of Defense. §DARPA's basic research budget. The bulk of DARPA's budget is provided from the applied research (6.2) and advanced technol-

SUARM's basic research budget. The bulk of DARM's budget is provided from the applied research (6.2) and advanced techno ogy development (6.3) categories. DARPA's overall FY 2011 budget would increase 3.7%, to \$3.1 billion, from the FY 2010 appropriation of \$3 billion.

Includes RDT&E categories 6.4 through 6.7.

tractors like Boeing and Lockheed Martin Corp. He noted that former Lockheed CEO Norman Augustine, who chaired last year's review committee, had endorsed the Obama plan. But congressional critics-including some who represent districts with large NASA workforces, and others who are upset by NASA's dependence on Russia to ferry astronauts to and from the ISS until new US capabilities are developed-complain that Constellation's demise will leave the space program without a clear destination. Bush's desire to return humans to the Moon was largely meant to provide the agency with a goal. Representative Frank Wolf (R-VA), ranking minority member of the Appropriations subcommittee with jurisdiction over NASA, hotly told Holdren that Obama's blueprint "leaves a program worthy of a lesser nation than the United States" and warned that it will be tantamount to "guaranteeing that the Chinese, Russians, and others will be closing the exploration gap."

Retirement of the remaining three

space shuttles by the end of 2010 will free up more than \$2 billion for use elsewhere in the agency. With its 2011 request, the administration has committed to keep the ISS in operation through at least 2020. Assembly of the ISS, which by some estimates has cost the US and its international partners \$100 billion, is due for completion this year. Lacking a change in policy, NASA's official plan calls for the ISS to be deorbited in 2016. Partner nations of ISS are hoping to keep it going until 2028.

Obama's budget proposes an increase of \$463 million for the ISS program in 2011 and \$2 billion in additional support over the next four years. Upgrades to the station's ground support and onboard systems will aim to fully utilize its research capabilities, improve its operation, and demonstrate new technologies being developed by other NASA programs. The FY 2011 budget includes a new line item for space technology. Funded at \$572 million, the program is to address the technological obstacles to long-term spaceflight, and

American Recovery and Reinvestment Act R&D funding

(millions

| | of dollars)*T |
|---|---------------|
| Total Department of Energy R&D | 23 290 |
| Energy efficiency and renewable energy | 16 772 |
| Electricity delivery and energy reliability | 4 496 |
| Fossil energy | 3 399 |
| Office of Science | 1 633 |
| Advanced scientific computing research | 162 |
| Basic energy sciences | 555 |
| Biological and environment research | 166 |
| Fusion energy sciences | 91 |
| High-energy physics | 232 |
| Nuclear physics | 155 |
| Science laboratories infrastructure | 198 |
| Workforce development for teachers and scientists | 12 |
| Small Business Innovation Research | 56 |
| Science program direction | 6 |
| Advanced Research Projects Agency– Energy | 389 |
| Total NASA | 950 |
| Science | 400 |
| Earth science | 325 |
| Astrophysics | 75 |
| Aeronautics research | 150 |
| Exploration | 400 |
| Total NSF | 2402 |
| Research and related activities | 2063 |
| Major research equipment and facilities construction | 254 |
| Education and human resources | 85 |
| Total NIST | 580 |
| Scientific and technical research and services | 220 |
| Renovation and construction of labs and facilities | 360 |
| Total NOAA | 170 |
| Department of Defense‡ | 300 |

*Figures are rounded to the nearest million.

†Figures reflect R&D items only.

#Funding for near-term energy-efficient technologies program.

feature an enhanced technology transfer component to push the commercialization of NASA inventions.

Two of NASA's four basic science programs are in store for big increases next year. Earth science, benefiting from the administration's focus on climate change, would swell nearly 27%. Planetary science would jump nearly 11%, but heliophysics research would rise only modestly, and astrophysics would decline 2.5%. Aeronautics research would increase 14.3%, to \$580 million.

NSF. Now in its 61st year, NSF is in line for an 8% increase in FY 2011. The \$7.4 billion proposal would keep the agency on track to attain the administration's goal for a 10-year doubling of its budget by 2017. Still, NSF clearly won't be able to sustain the ARRAfueled spending of the past year and a half. NSF used the bulk of its \$2.4 billion in stimulus money to make 4599 competitively awarded grants from its backlog of highly ranked proposals.

| NSF R&D programs | | | | |
|--|-------------------|---------------------|--------------------|---------------------------------|
| | FY 2009 actual | FY 2010 estimate | FY 2011 request | FY 2010–11 percent change |
| | (m | illions of dolla | ars)* | |
| Total NSF | 6469 | 6873 | 7424 | 8.0 |
| Research and related activities (R&RA) | | | | |
| Mathematical and physical sciences (MPS) | | | | |
| Mathematical sciences | 225 | 241 | 252 | 5.0 |
| Astronomical sciences | 229 | 246 | 253 | 2.5 |
| Physics | 262 | 290 | 298 | 2.8 |
| Chemistry | 212 | 234 | 248 | 5.9 |
| Materials research | 283 | 303 | 319 | 5.5 |
| Multidisciplinary activities | 34 | 38 | 40 | 3.2 |
| Total MPS | 1244 | 1352 | 1410 | 4.3 |
| Geosciences (GEO) | | | | |
| Atmospheric and geospace sciences | 245 | 260 | 281 | 8.1 |
| Earth sciences | 171 | 183 | 199 | 8.7 |
| Ocean sciences | 331 | 349 | 378 | 8.3 |
| Integrative and collaborative education and research | 61 | 98 | 98 | -0.3 |
| Total GEO | 809 | 890 | 955 | 7.4 |
| Engineering | 665 | 744 | 826 | 11.0 |
| Biological sciences | 657 | 715 | 768 | 7.5 |
| Computer & Information Science & Engineering (CISE) | | | | |
| Computer and network systems | 188 | 204 | 227 | 11.1 |
| Computing and communication foundations | 157 | 170 | 187 | 9.7 |
| Information and intelligent systems | 151 | 163 | 190 | 16.2 |
| Information technology research | 78 | 81 | 81 | — |
| Total CISE | 574 | 619 | 685 | 10.6 |
| Office of cyberinfrastructure | 199 | 214 | 228 | 6.4 |
| US polar programs | | | | |
| Arctic sciences | 99 | 106 | 111 | 4.8 |
| Antarctic sciences | 69 | 71 | 75 | 5.8 |
| Antarctic infrastructure and logistics | 247 | 267 | 280 | 5.0 |
| Polar environment, health, and safety | 6 | 7 | 7 | 3.7 |
| US Coast Guard polar icebreaking | 54 | [54]† | 54 | — |
| Total polar programs | 474 | 451 | 528 | 17.0 |
| Arctic research commission | 1 | 2 | 2 | 1.3 |
| Social, behavioral, and economic sciences | 241 | 255 | 269 | 5.3 |
| Office of international science and engineering | 47 | 48 | 53 | 11.4 |
| Integrative activities | 242 | 275 | 296 | 7.6 |
| Total R&RA | 5152 | 5564 | 6019 | 8.2 |
| Major research equipment and facilities construction | 161 | 117 | 165 | 40.8 |
| Education and human resources | 846 | 873 | 892 | 2.2 |
| Agency operations and award management | 294 | 300 | 329 | 9.7 |
| National Science Board | 4 | 5 | 5 | 6.6 |
| Inspector general | 12 | 14 | 14 | 2.5 |
| Ver. 1.1 | | 1.0 | | |

*Figures are rounded to the nearest million. Changes are calculated from unrounded figures. +Excludes a one-time appropriation transfer of \$54 million to US Coast Guard for icebreaking.

That's about half the number of awards it makes with regular appropriations. Outgoing NSF director Arden Bement said the ARRA-funded grants are providing jobs for 6762 investigators, including 2352 first-time awardees.

Describing NSF as the "tip of the spear in the nation's science and engi-

neering research and education enterprise," Bement told reporters that NSF will increase emphasis on alternative energy and energy efficiency research. A portfolio of programs called "science, engineering, and education for sustainability," to be funded at \$766 million, will seek integrated approaches to in-

| Department of Commerce (NOAA and NIST) R&D programs | | | | | |
|---|------------------------|---------------------|--------------------|---------------------------------|--|
| | FY 2009 actual | FY 2010 estimate | FY 2011 request | FY 2010-11 percent change | |
| | (millions of dollars)* | | | | |
| National Oceanic and Atmospheric Administration R&D | | | | | |
| Total | 790 | 872 | 959 | 10.0 | |
| NIST R&D | | | | | |
| Total | 819 | 857 | 919 | 7.3 | |
| Scientific and Technical Research Services† | 472 | 515 | 584 | 13.5 | |
| Technology Innovation Program‡ | 65 | 70 | 80 | 14.3 | |
| Construction of research facilities | 172 | 147 | 125 | -15.1 | |
| *Figure and a data the second million. Changes and a late of fear second a difference | | | | | |

*Figures are rounded to the nearest million. Changes are calculated from unrounded figures. †Includes NIST's laboratories.

+Formerly the Advanced Technology Program.

crease US energy independence, enhance environmental stewardship, and reduce energy use and carbon intensity, while also generating economic growth. In a partnership with DOE, NSF plans to initiate a new \$19 million program, dubbed Re-Energyse (regaining our energy science and engineering edge), to train as many as 8500 scientists and engineers and thousands more technicians by 2015 for careers in clean energy.

Nearly 50% of the federal funding for academic research in the physical sciences and 82% of universities' research funding in computer sciences comes from NSF. The FY 2011 budget proposes doubling to \$70 million the agency's "science and engineering beyond Moore's Law" program, which seeks alternatives to silicon chip technology, and would also further Obama's commitment to triple the number of new NSF graduate research fellowships to 3000 by 2013 by adding \$158 million.

Also included in the budget request is \$12 million for a new "innovation ecosystem" program to foster increased commercialization of inventions that originate in academia. It's proposed as a component of the agency's "partnerships for innovation" program, which seeks collaborations among universities, state and local governments, companies, and nonprofit organizations for developing the people, tools, and infrastructure needed to connect new scientific discoveries with practical uses. Funding for PFI would more than double next year, to \$19 million.

NOAA and NIST. One of two Commerce Department S&T agencies, NOAA is to receive \$1.1 billion for a newly named Joint Polar Satellite System next year. The restructured program proposes a new division of labor among NOAA, NASA, and DOD. The project, originally estimated to cost \$6.5 billion in 2002, has ballooned to \$13.9 billion and is five years behind schedule, despite a 2006 restructuring. NIST, also part of the Commerce Department, would receive \$709 million, a 6.9% increase, in FY 2011 for its scientific and technical research services activities and for the construction of research facilities-the two portions of NIST whose funding Obama has pledged to double by 2017. NIST's Technology Innovation Program, formerly the Advanced Technology Program, would receive \$80 million in FY 2011, a \$5 million increase from this year.

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